

SIC RICHT

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PTO/SB/05 (4/98)

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. 01-264

First Inventor or Application Identifier **ISHIKAWA et al.**

ADAPTIVE IMAGE PROCESSING UNIT FOR EXTRACTING CHARACTERISTIC PORTION FROM IMAGE

Express Mail Label No.

APPLICATION ELEMENTS

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

<p>1. <input checked="" type="checkbox"/> * Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Specification [Total Pages 35]</p> <ul style="list-style-type: none"> -Descriptive title of the Invention -Cross Reference to Related Applications -Background of the Invention -Summary of the Invention -Brief Description of the Drawings -Detailed Description of the Preferred Embodiment -Claims -Abstract of the Disclosure <p>3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 10]</p> <p>4. Oath or Declaration [Total Sheets 3] <ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63 (d)) (for continuation/divisional with Box 16 completed) <ul style="list-style-type: none"> i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b). </p>	<p>5. <input type="checkbox"/> Microfiche Computer Program (Appendix)</p> <p>6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) <ul style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies </p> <p>ACCOMPANYING APPLICATION PARTS</p> <p>7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>8. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement (when there is an assignee) <input type="checkbox"/> Power of Attorney</p> <p>9. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>10. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of ID Citations</p> <p>11. <input type="checkbox"/> Preliminary Amendment</p> <p>12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (should be specifically itemized)</p> <p>13. <input type="checkbox"/> *Small Entity Statement(s) (PTO/SB/09-12) <input type="checkbox"/> Statement filed in prior application <input type="checkbox"/> Status still proper and desired</p> <p>14. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>15. <input type="checkbox"/> Other:</p>
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***NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28)**

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

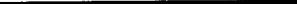
Continuation Divisional Continuation-in-part (CIP) of prior application No: _____

Prior application information: Examiner _____ Group/Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	 (Insert Customer No. or attach bar code label here) 23400	<input type="checkbox"/> Correspondence address below		
PATENT & TRADEMARK OFFICE				
Name				
Address				
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Country	Telephone	(202) 416-1638	Fax	(202) 416-1639

Name (Print/type)	DAVID G. POSZ	Registration No. (Attorney/Agent)	37,701
Signature			Date 2-28-02

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. The will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 864)

Complete if Known	
Application Number	
Filing Date	February 28, 2002
First Named Inventor	ISHIKAWA et al.
Examiner Name	
Group/Art Unit	
Attorney Docket No.	01-264

METHOD OF PAYMENT (check one)																								
1. <input type="checkbox"/>	The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:																							
Deposit Account Number	50-1147																							
Deposit Account Name	LAW OFFICE OF DAVID G. POSZ																							
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17																								
2. <input checked="" type="checkbox"/>	Payment Enclosed:																							
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other																								
FEE CALCULATION																								
1. BASIC FILING FEE																								
Large Entity	Small Entity																							
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description																				
101	740	201	370	Utility filing fee																				
106	330	206	165	Design filing fee																				
107	510	207	255	Plant filing fee																				
108	740	208	370	Reissue filing fee																				
114	160	214	80	Provisional filing fee																				
SUBTOTAL (1) (\$ 740)			Fee Paid 740																					
2. EXTRA CLAIM FEES																								
<table border="1"> <tr> <th colspan="2">Extra Claims</th> <th>Fee from Below</th> <th colspan="2">Fee Paid</th> </tr> <tr> <td>Total Claims</td> <td>20</td> <td>-20**= 0</td> <td>X 18</td> <td>= 0</td> </tr> <tr> <td>Independent Claims</td> <td>4</td> <td>- 3**= 1</td> <td>X 84</td> <td>= 84</td> </tr> <tr> <td>Multiple Dependent</td> <td></td> <td></td> <td></td> <td></td> </tr> </table>					Extra Claims		Fee from Below	Fee Paid		Total Claims	20	-20**= 0	X 18	= 0	Independent Claims	4	- 3**= 1	X 84	= 84	Multiple Dependent				
Extra Claims		Fee from Below	Fee Paid																					
Total Claims	20	-20**= 0	X 18	= 0																				
Independent Claims	4	- 3**= 1	X 84	= 84																				
Multiple Dependent																								
** or number previously paid, if greater; For Reissues, see below																								
Large Entity	Small Entity	Fee Description																						
Fee Code	Fee (\$)	Fee Code	Fee (\$)																					
103	18	203	9	Claims in excess of 20																				
102	84	202	42	Independent claims in excess of 3																				
104	280	204	140	Multiple dependent claim, if not paid																				
109	84	209	42	**Reissue independent claims over original patent																				
110	18	210	9	**Reissue claims in excess of 20 and over original patent																				
SUBTOTAL (2) (\$ 84)			*Reduced by Basic Filing Fee Paid																					
			SUBTOTAL (3) (\$ 40)																					

FEE CALCULATION (continued)				
3. ADDITIONAL FEES				
Large Entity	Small Entity	Fee Description		
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Paid
105	130	205	65	Surcharge – late filing fee or oath
127	50	227	25	Surcharge – late provisional filing fee or cover sheet
139	130	139	130	Non-English specification
147	2,520	147	2,520	For filing a request for reexamination
112	920*	112	920*	Requesting publication of SIR prior to Examiner action
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action
115	110	215	55	Extension for reply within first month
116	400	216	200	Extension for reply within second month
117	920	217	460	Extension for reply within third month
118	1440	218	720	Extension for reply within fourth month
128	1960	228	980	Extension for reply within fifth month
119	320	219	160	Notice of Appeal
120	320	220	160	Filing a brief in support of an appeal
121	280	221	140	Request for oral hearing
138	1,510	138	1,510	Petition to institute a public use proceeding
140	110	240	55	Petition to revive – unavoidable
141	1,280	241	640	Petition to revive – unintentional
142	1,280	242	640	Utility issue fee (or reissue)
143	460	243	230	Design issue fee
144	620	244	310	Plant issue fee
122	130	122	130	Petitions to the Commissioner
123	50	123	50	Petitions related to provisional applications
126	180	126	180	Submission of information Disclosure Stmt
581	40	581	40	Recording each patent assignment per property (times number of properties)
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))
Other fee (specify) _____				
Other fee (specify) _____				
			SUBTOTAL (3) (\$ 40)	

SUBMITTED BY		Complete (if applicable)		
Name (Print/Type)	DAVID G. POSZ	Registration No. (Attorney/Agent)	37,701	Telephone (202) 416-1638
Signature		Date	2-28-02	

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DAVID G. POSZ
CHARLES W. BETHARDS *
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February 28, 2002

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Washington, D.C. 20231

Sir:

OIPE HAND DELIVERY FILING CERTIFICATE

Applicant: ISHIKAWA et al.

For: ADAPTIVE IMAGE PROCESSING UNIT FOR EXTRACTING
CHARACTERISTIC PORTION FROM IMAGE

Docket: 01-264

Attorney: David G. Posz

Date of Deposit: February 28, 2002

I hereby certify that this certificate and the following documents are being hand delivered to, and deposited with, the USPTO at the Customer Service Window, Office of Initial Patent Examination, Crystal Plaza Building 2, Room 1B03, 2011 South Clark Place, Arlington, VA 22202 on the above-indicated date, and are addressed to the Commissioner of Patents and Trademarks/Assistant Commissioner for Patents, Washington, D.C., 20231:

- return receipt postcard;
- transmittal form (2 copies);
- fee calculation form (2 copies);
- 35 page specification including 20 numbered claims;
- 10 sheets of formal drawings;
- executed declaration/power of attorney;
- executed assignment with recordation cover sheet (2 pages total);
- IDS with PTO-1449 form and 6 references;
- 1 certified copy(ies) of priority document(s) (JP 2001-58497); and
- check for \$864.



David G. Posz
Reg. No. 37,701
Attorney for Applicant